

Regulatory Compliance Seminar Series



Deposits	Basic	Intermediate	Masters
Advertising	X	X	X
Cash Management		X	
Electronic Disclosures and Internet Banking		X	X
FDIC Insurance Coverage		X	X
Identity Theft	X	X	X
Information Security	X		
Lotteries	X	X	
NOW Account Eligibility	X	X	
Political Contributions		X	
Privacy	X	X	X
Proposed Regulations			X
Regulation CC – Funds Availability	X	X	
Regulation D Account Requirements	X	X	
Regulation DD - Truth in Savings	X	X	
Regulation E – Electronic Funds Transfers	X	X	
Regulation GG - Unlawful Internet Gambling	X	X	X
Regulation Q – Interest on Deposit	X	X	
Regulation R and Non-depository Investment Products		X	X
Regulations J and CC – Check Processing		X	X
Regulatory Issues that Affect Bank Products (e.g., Stored Value Cards, Remote Deposit Capture, Safe Deposit Boxes)		X	X
Right to Financial Privacy Act and Regulation S	X	X	

Lending	Basic	Intermediate	Masters
Advertising	X	X	X
Appraisals and Appraisal Standards		X	
CERCLA			X
Concentrations in Commercial Real Estate		X	
Fair Credit Reporting Act	X	X	X
Flood Insurance	X	X	X
HMDA	X	X	
John Warner National Defense Act		X	X
Private Mortgage Insurance		X	X
Proposed Regulations			X
Real Estate Lending Standards	X		X
Regulation AA	X	X	
Regulation B – Fair Lending	X	X	X
Regulation B – Other Requirements	X	X	
Regulation BB – Community Reinvestment Act	X	X	
Regulation F – Interbank Liabilities			X
Regulation O – Insider Transactions	X	X	X
Regulation R and Insurance Sales		X	X
Regulation U - Lending on Securities		X	X
Regulation W – Transactions with Affiliates			X
Regulation Z - Truth in Lending	X	X	X
RESPA and HUD's Regulation X	X	X	X
Service Members Civil Relief Act		X	X

Bank Secrecy Act and USA PATRIOT Act	Basic	Intermediate	Masters
BSA Penalties and Enforcement Actions			X
Currency Transaction Reporting	X	X	
Customer Identification Program	X	X	
New BSA Guidance		X	X
OFAC	X	X	X
Suspicious Activity Reporting	X	X	X